

In re Application of: Gerwig Marquardt  
Serial Number: 09/530,472  
Filed: April 27, 2000  
Examiner: To be assigned  
Group Art Unit: 5071  
For: SILICON FOAMS WITH CLOSED PORES, METHOD  
FOR THE PRODUCTION AND USE THEREOF

**533 Rec'd PCT/PTO 01 AUG 2000**

**LETTER TRANSMITTING MISSING PARTS AND FEES**

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

This letter is in response to the Notice to File Missing Parts of  
Application dated 6/28/00, a copy of which is enclosed.

Enclosed herewith is:

☒ Declaration and Power of Attorney.

The Commissioner is hereby authorized to charge the following fees to  
our Deposit Account No. 07-0888.

☐ \$130.00 surcharge for filing the Declaration on a date later  
than the filing date of the Application.

☒ Any additional fees which may be required in connection  
herewith or credit any overpayment.

**A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.**

GENERAL ELECTRIC COMPANY  
One Plastics Avenue  
Pittsfield, MA 01201  
7/25, 2000

Respectfully submitted,

Kevin E. McVergh  
Attorney for Applicant  
Registration No. 33,017  
Telephone No. (413) 448-4730

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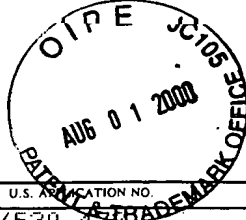
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United  
States Postal Service as First Class mail in an envelope addressed to:  
Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this  
25 day of July, 2000.

Margaret Biernacki



Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

VCH

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U.S. APPLICATION NO. 09/530,472 MARQUARDT FIRST NAMED APPLICANT G ATTY. DOCKET NO. 606B00128

GE PLASTICS  
ONE PLASTICS AVENUE  
PITTSFIELD MA 01201

5071

INTERNATIONAL APPLICATION NO.

PCT/EP98/07291

I.A. FILING DATE

PRIORITY DATE

11/13/98

11/13/98

DATE MAILED: 06/28/00

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☒ Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_.

☐ Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: 20101; IPEA401; IB306

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Francine Young

National Stage Processing

Paralegal Specialist

(703) 305-3662



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